

**STATEMENT UNDER 37 CFR 3.73(B)**Applicant/Patent Owner: Michael Lassner and Alison Van EenennaamApplication No./Patent No. 09/651,651Filed/Issue Date: August 30, 2000Entitled: PLANT STEROL ACYLTRANSFERASESMonsanto Technology, L.L.C., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership,  
university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 015039, Frame 0544, or for which a copy thereof is attached.

**OR**

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.  
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.081]

The undersigned (whose ~~title~~ <sup>signature</sup> is supplied below) is authorized to act on behalf of the assignee.

Signature

October 17, 2006

Date

Robert E. Hanson, Reg. No. 42,628

Printed or Typed Name

(512) 474-5201

Telephone Number

Attorney

Title

MONS:005US

File Code



FULBRIGHT & JAWORSKI LLP  
AUSTIN, TEXAS

SEP 02 2004

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UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
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AUGUST 26, 2004

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FULBRIGHT & JAWORSKI, L.L.P.  
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\*102687600A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/05/2004

REEL/FRAME: 015039/0544  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

MONSANTO COMPANY

DOC DATE: 02/20/2004

ASSIGNEE:

MONSANTO TECHNOLOGY, L.L.C.  
800 NORTH LINDBERGH BLVD.  
ST. LOUIS, MISSOURI 63167

SERIAL NUMBER: 09651651

FILING DATE: 08/30/2000

PATENT NUMBER:

ISSUE DATE:

TITLE: PLANT STEROL ACYLTRANSFERASES

RECEIVED	
Date(s) Docketed:	SEP 08 2004
SEP 08 2004	
Client:	monsantos
Attorney(s):	KEA

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ASSIGNMENT DIVISION  
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03-09-2004

FORM PTO-1595  
(Rev. 6-93)

U.S. Department of Commerce

OMB No. 0651-0011 (exp. 4/94)



102687600

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original document or copy thereof.

## 1. Name of conveying party(ies):

Monsanto Company

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other

Execution Date: 02/20/04

## 2. Name and address of receiving party(ies):

Name: Monsanto Technology, L.L.C.

Street Address: 800 North Lindbergh Blvd.

City: St. Louis State: MO Zip: 63167

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or registration number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s):

09/651,651

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert E. Hanson  
FULBRIGHT & JAWORSKI, L.L.P.  
600 Congress Avenue, Suite 2400

City: Austin State: Texas Zip: 78701

6. Total number of applications and patents involved: 17. Total fee (37 C.F.R. 3.41) \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account  
if check insufficient or inadvertently omitted8. Deposit account number: 50-1212/MONS:005US  
(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert E. Hanson

03/01/2004

Name of Person Signing, Reg. No. 42,628

Signature

Date

Total number of pages including cover sheet, attachments and documents: 3

Mail documents to be recorded with required cover sheet information to:

Director of the U.S. Patent and Trademark, MS Assignment, P.O. Box 1450, Alexandria, VA 22313-1450

CERTIFICATE OF MAILING  
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Director of the U.S. Patent and Trademark, MS Assignment, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below:

March 1, 2004  
Date

Robert E. Hanson

03/06/2004 MESSAGE 00000064 09651651

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ASSIGNMENT

WHEREAS, MONSANTO COMPANY (the "Undersigned"), having a place of business at 800 North Lindbergh Blvd., St. Louis, MO 63167, is the assignee of the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. 09/651,651 which has been filed on August 30, 2000 and is entitled "PLANT STEROL ACYLTRANSFERASES", such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the Undersigned, does hereby:

SELL, ASSIGN AND TRANSFER to MONSTANTO TECHNOLOGY, L.L.C. (the "Assignees"), having a place of business at 800 North Lindbergh Blvd., St. Louis, MO 63167, the entire right and title for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. 09/651,651 which has been filed on August 30, 2000 and is entitled "PLANT STEROL ACYLTRANSFERASES", such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignees;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the Undersigned, and that the full right to convey the same as herein expressed is possessed by the Undersigned;

COVENANT, when requested and at the expense of the Assignees, to carry out in good faith the intent and purpose of this assignment, the Undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignees all facts known to the Undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignees

shall consider desirable for vesting title to such improvements, and for securing, maintaining and enforcing proper patent protection for such improvements;

TO BE BINDING on the heirs, assigns, representatives and successors of the Undersigned and extend to the successors, assigns and nominees of the Assignees.

Signature: Michael J. Roth  
Name: Michael J. Roth  
Title: Senior Counsel

Date: 2/20/2004

STATE OF \_\_\_\_\_ )  
COUNTY OF St. Louis ) ss.

BEFORE ME, the undersigned authority, on this 20<sup>th</sup> day of February, 2004, personally appeared Michael J. Roth, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed.

James R. Pope  
Notary or Consular Officer